



2601
COPY OF PAPERS
ORIGINALLY FILED

PATENT APPLICATION
Docket: 15436.15

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
)
 Coupal, et al.)
)
 Serial No.: 10/001,454) Art Unit
) 2661
 Confirmation No.: 2368)
)
 Filed: October 24, 2001) RECEIVED
) APR 18 2002
 For: SYSTEMS AND METHODS FOR
 INTERPRETING COMMUNICATIONS
 PACKETS)
)
 Technology Center 2600)

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on this 2nd day of April 2002:

- o Transmittal for Information Disclosure Statement (3 pgs.)
- o Information Disclosure Statement (2 pgs.)
- o Form PTO-1449 (2 pgs.)
- o Copies of four (4) references
- o Postcard

Respectfully submitted,

ERIC L. MASCHOFF
Attorney for Applicant
Registration No. 36,596

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Facsimile: (801) 328-1707

ELM:kjb

G:\DATA\WPDOCS\KJB\ELMLTR\inisar\pto\15 ids trx 032802.doc



WORKMAN NYDEGER & SEELEY

ATTORNEYS AT LAW
A PROFESSIONAL CORPORATION
1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
TELEPHONE (801) 533-9800
FACSIMILE (801) 328-1707

CK D. NYDEGGER
DAVID O. SEELEY
BRENT P. LORIMER
THOMAS R. VUKSINICK
LARRY R. LAYCOCK
JONATHAN W. RICHARDS
DAVID R. WRIGHT
JOHN C. STRINGHAM
JOHN M. GUYNN
CHARLES L. ROBERTS
GREGORY M. TAYLOR
DANA L. TANGREN
ERIC L. MASCHOFF
CHARLES J. VEVERKA
ROBYN L. PHILLIPS
RICHARD C. GILMORE†
DAVID B. DELLENBACH
KEVIN K. JOHANSON
R. BURNS ISRAELSEN
DAVID R. TODD

L. DAVID GREEN
FRASER D. ROY
CARL T. REED
JESÚS JUANOS I TIMONEDA, PH.D.
R. PARRISH FREEMAN, JR.
PETER F. MALEN, JR.
ADRIAN J. LEE
L. REX SEARS, PH.D.
ERIC M. KAMERATH
ROBERT E. AYCOCK
JENS C. JENKINS
KEVIN W. STINGER
WILLIAM J. ATHAY
WILLIAM R. RICHTER
TRENT H. BAKER

• ADMITTED ONLY IN CALIFORNIA
• ADMITTED ONLY IN PENNSYLVANIA

PATENTS
TRADEMARKS
COPYRIGHTS
TRADE SECRETS
UNFAIR COMPETITION
LICENSING
COMPLEX LITIGATION

MAILING ADDRESS:
P.O. BOX 48862
SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnapatent.com>
GENERAL E-MAIL: info@wnapatent.com

RECEIVED
APR 18 2002
Technology Center 2600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Coupal, et al.

Serial No.: 10/001,454

Confirmation No.: 2368

Filed: October 24, 2001

For: **SYSTEMS AND METHODS FOR
INTERPRETING COMMUNICATIONS
PACKETS**

copy of papers
originally filed

)
)
)
)
) Art Unit
) 2661

**COPY OF PAPERS
ORIGINALLY FILED**

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

- Statement of relevance of selected cited references not in the English language which are not translated.
- Statement that selected cited references are substantially cumulative of an enclosed or previously submitted reference.

— Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

- Form PTO-1449 listing four (4) references submitted for consideration.
- Copies of four (4) of the references listed on the Form PTO-1449.

B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

The transmitted Information Disclosure Statement is being filed within one (1) of the following four (4) time periods:

- I. Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.
- II. — Following the latter of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed:
 - Promptness Certification; or
 - PTO-2038 Credit Card Payment Form in the amount of \$180.00 constituting the submission fee set forth in 37 C.F.R. § 1.17(p).
- III. — After the mailing of a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:
 - Promptness Certificate;
 - Petition for Consideration; and
 - PTO-2038 Credit Card Payment Form in the amount of \$_____ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

IV. — After payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:

- Petition to Withdraw from Issue; and
- PTO-2038 Credit Card Payment Form in the amount of \$ _____ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

C. Fees

The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed.

- Any fee required in relation to filing of this letter or any documents transmitted therewith.
- The submission fee set forth in 37 C.F.R. § 1.17(p) in the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. § 1.97(e).
- The submission fee set forth in 37 C.F.R. § 1.17(p).
- The petition fee set forth in 37 C.F.R. § 1.17(i)(1).

Dated this 2nd day of April, 2002.

Respectfully submitted,



ERIC L. MASCHOFF
Attorney for Applicant
Registration No. 36,596



022913

PATENT TRADEMARK OFFICE

ELM:kjb

Enclosures

G:\DATA\WPDOCS\KJB\ELMLTR\finisar\pto\15 ids trx 032802.doc



PATENT APPLICATION
Docket No: 15436.15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Coupal, et al.

Serial No.: 10/001,454

Filed: October 24, 2001

For: SYSTEMS AND METHOD FOR INTERPRETING
COMMUNICATIONS PACKETS

)
)
)
)
) Art Unit
)
)
)
)
)
)
)

~~COPY OF PAPERS
ORIGINALLY FILED~~

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), enclosed Form PTO-1449 that contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Pursuant to 37 C.F.R. § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information cited is considered to be material to patentability. Additionally,

Assistant Commissioner for Patents
Page 2

Applicant makes no representation regarding the completeness of this list nor represents that other art does not exist.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED this 2nd day of April, 2002.

Respectfully submitted,



ERIC L. MASCHOFF
Attorney for Applicant
Registration No. 36,596

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Facsimile: (801) 328-1707

Applicant: Couper, et al.

Serial No.: 10/001,454

Filing Date: October 24, 2001

For: SYSTEMS AND METHODS FOR INTERPRETING
COMMUNICATIONS PACKETS

Att'y Docket No.: 15436.15

Group: 2661

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTCOPY OF PAPERS
ORIGINALLY FILEDU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
____ 1	5,347,524	09/13/92	I'Anson et al.
____ 2	5,850,388	12/15/98	Anderson et al.
____ 3	6,122,757	09/19/00	Kelley
____ 4	6,219,050	04/17/01	Schaffer

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
____ 5			
____ 6			

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Coupal, et al.

Serial No.: 10/001,454

Filing Date: October 24, 2001

For: SYSTEMS AND METHODS FOR INTERPRETING
COMMUNICATIONS PACKETS

Att'y Docket No.: 15436.15

Group: 2661

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial* 7References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.